

PATENT APPLICATION Attorney Docket No. D/97063

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen F. Linder

Application No.: 08/878,978

Group Art Unit: Unassigned

Filed: June 19, 1997

Examiner: Unassigned

Title: A METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT

**ORIENTED NEUTRAL IMAGE DATA** 

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

It is respectfully requested that a correction of inventorship under 37 C.F.R. §1.48(a), and as specified below, be granted for the above identified application.

The following documents are included herewith in accordance with Rule 48(a).

- 1) A statement of facts verified by the original named inventor establishing when the error without deceptive intent was discovered and how it occurred;
- 2) An declaration by each actual inventor or inventors as required by §1.63;
- 3) A fee set forth in §1.17(h) and which payment is authorized by way of this petition and specified below; and
- 4) The written consent of the assignee, Xerox Corporation.

Please charge the fee for this petition, and any other fees which may be required under 37 C.F.R. §1.17(h), to Deposit Account No. 24-0025. Two duplicates of this sheet are enclosed.

Respectfully Submitted,

Michael J. Nickerson

Attorney For Applicant(s)

Signature under 37 C.F.R. 1.33 & 34

Registration No. 33,265

MJN/dah

**Xerox Corporation** Xerox Square 20A Rochester, New York

Telephone: 716-423-3715



### Patent Application Attorney Docket No. D/97063

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen F. Linder Application No.: 08/878,978

Filed: June 19, 1997 Examiner: Unassigned

Group Art Unit: Unassigned

Title: A METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT ORIENTED NEUTRAL

**IMAGE DATA** 

Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope to: Assistant Commissioner for Patents, Washington, D. C. 20231, on Colora, (Mipate of deposit)

Michael J. Nickerson (Name of applicant, assignee, or Registered Representative)

(Signature)
Date of Signature: 10/2/97

## **INVENTORSHIP CORRECTION UNDER 37 C.F.R. §1.48(a)**

The undersigned John E. Beck, having authority from Xerox Corporation to and on behalf of Xerox Corporation, the Assignee of the entire interest in and to the above application by virtue of an Assignment executed on and filed with the Patent Office concurrently with this Petition to Correct Inventorship, hereby consents to the addition of Craig H. Mallery as inventor to Xerox Corporation U.S. Patent Application No. 08/878,978.

On behalf of Xerox Corporation, the Assignee of the entire interest, that is 100 percent, in and to the above-identified application, the evidentiary documents have been reviewed by the undersigned and the undersigned attorney certifies that to the best of the Assignee's knowledge and belief title is in the Assignee seeking to take action.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 35

U.S.C. §1001 and that such willful false statements may jeopardize the validity of the patent.

Please charge the fee, reference 37 C.F.R. 1.48 (3), to Xerox Deposit Account No. 24-0025.

Respectfully submitted,

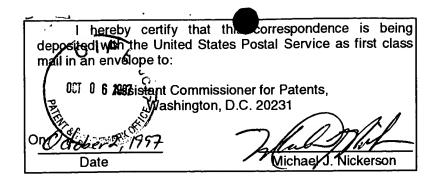
John E. Beck

Aftorney For Applicant(s)
Registration No. 22,833

716-423-4318

JEB/MJN/dah

Xerox Corporation Xerox Square 20A Rochester, New York



PATENT APPLICATION Attorney Docket No. D/97063

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen F. Linder

Application No.: 08/878,978

Group Art Unit: Unassigned

Filed: June 19, 1997

Examiner: Unassigned

Title: A METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT

**ORIENTED NEUTRAL IMAGE DATA** 

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Honorable Commissioner for Patents Washington, D.C. 20231 Sir:

## STATEMENT OF FACTS UNDER 37 C.F.R. §1.48(a)

- I, Stephen F. Linder, the inventor named for the above identified application declare that:
- 1. The subject matter of the above-identified application was developed through the interaction and collaboration of both the Applicant and Craig H. Mallery, employees of Xerox Corporation.
- 2. During initial discussions regarding the scope of the invention with Michael J. Nickerson, Attorney for the Applicant, in May of 1997, Applicant noted that Craig H. Mallery was inadvertently, without deceptive intent, omitted from the initial inventor list provided to the Xerox Patent Department.

Statement of Facts (Continued)

- 3. After these initial discussions, the Applicant and Craig H. Mallery, both reviewed and assisted in the preparation of the above-identified application.
- 4. Through an administrative error without deceptive intent the information conveyed by the Applicant to the Attorney for the Applicant in May of 1997 was not entered into the inventor database record for the above-identified application.
- 5. The above-identified application was filed on an expedited bases without the inventor signature because of perceived and actual competitive activity in this area of technology by industrial concerns.
- 6. On June 19, 1997, the paperwork, accompanying the filed patent application, which provided the information regarding inventorship was generated directly from the inventor data base record for the above-identified application.
- 7. The omission was discovered in July of 1997 when the Attorney for the Applicant began to prepare the formal Declaration for the above-identified application.
- 8. It is thus entirely inadvertent, through error and without any deceptive intention that the above -identified patent application was filed in the name of Stephen F. Linder wherein the inventors are actually Stephen F. Linder and Craig H. Mallery.

Statement of Facts (continued)

9. The undersigned, as the originally named inventor, declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 35 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the patent.

Further declarant sayeth not.

Respectfully submitted,

Stephen J. Linds

Stephen F. Linder

#### PATENT APPLICATION

Attorney Docket No. D/97063

### ARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled. METHOD AND SYSTEM FOR PROCESSING AND RENDERING **OBJECT OREINTED NEUTRAL IMAGE DATA** 

the specification and claims				
are attached hereto	OR 🛛 was filed	on <u>June 19, 1997</u> as U.S	. Application No. <u>08/878,978</u>	
I hereby state that I have including the claims.	reviewed and underst	and the contents of the	e above-identified specification,	
I acknowledge the duty to o		ch is material to the pate	entability as defined in Title 37,	
I hereby claim priority bene application(s) for patent liste application(s) for patent hav	ed below, and have also	identified below any fore	any foreign or U.S. Provisiona eign application(s) or Provisiona which priority is claimed:	
Prior Foreign or U.S. Provis	ional Application(s)			
(Number)	(Country)	(Day/Month/Year Filed)		
POWER OF ATTORN practitioners to prosecut Office connected therew Ronald Zibelli, John E. Beck, Serge Abend,  Richard B. Domingo,	te this application and	entor, I hereby appoint transact all business in t Henry Fleischer, Mark Costello, Michael J. Nickerson,	the following registered the Patent and Trademark  Reg. No. 25,582;  Reg. No. 31,342;  Reg. No. 33,265	
ADDRESS ALL CORRESPONDENCE TO: Ronald Zibelli Xerox Corporation Xerox Square 20A Rochester, New York 14644		(name and telephone	DIRECT TELEPHONE CALLS TO: (name and telephone number) Michael J. Nickerson 716-423-3715	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Attorney Docket No. D/97063

## **DECLARATION AND POWER OF ATTORNEY, continued**

Name of	sole or first inventor:	Stephen F. Linder

Inventor's Signature:

Residence: 71 Broadmoor Trail, Fairport, New York 14450

Post Office Address: Citizenship: US (Same as above)

Name of second joint inventor: Craig H. Mallery

Inventor's Signature:

Residence: 693 Ashdon Circle, Webster, NY 14580 Inventor's Signature:

Citizenship: USA Post Office Address: (Same as above)

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	RECORDAT	ION FO	RM COVER SHEET U.S. DE	PARTMENT OF COMMERCE Patent and Trademark Office	
6 19	97 E) BATENTS ONLY	,	D/07062	T dioni and Trademan amou	
	" *** PATENTS ONLY		Attorney Docket Number <b>D/97063</b>		
P . AI	A Sir - archite Commission or of Retents and Tra	domorko: D	loose record the attached original assign	ment document	
N.	tionorable Commissioner of Patents and Trac	uemarks. F	lease record the attached original assign	interit document.	
1. Name of Party(ies) conveying an interest		erest:	st: 2. Name and Address of receiving Party(ies):		
	Stephen F. Linder Craig H. Mallery		Xerox Corporation		
July 11. Hunory			Acióx Corporation		
			800 Long Ridge Road		
			P.O. Box 1600		
			Stamford Connecticut		
			06904-1600		
\dditi	ional name(s) of conveying party(ies)		Additional name(s) and address(es)	□ <b>□</b>	
ttact			attached?	Yes No	
3.	Nature of Conveyance: ASSIGNMENT	i			
_	Execution Date: September 16, 1997	-hor(e):	<u> </u>		
4.	Application number(s) or patent num If this document is being filed together with a new	NDer(5). ew applicati	ion, the execution date of the application	is:	
08/	A. Patent Application No.(s) 878,978		B. Patent No.(s)		
			nal numbers attached? Yes		
5.	Name and Address of party to whom correspondence concerning document should be mailed:	6. Total	number of applications and patents invo	lved = <b>1</b>	
	Xerox Corporation	7. Total Fee (37 CFR 3.41): \$ 40.00			
	Xerox Square - 20A	│ □ Englessed			
	Rochester, New York 14644				
	DON	IOT USE	THIS SPACE		
9.	Statement and signature.				
	To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true				
	copy of the original document.		and Al		
	Name of Person Signing		Aul St 860L		
	Michael J. Nickerson	<b>G</b> ign	ature		

Date October 2, 1997

Total number of pages comprising cover sheet 1

Rev 1/17/96

# PATENT APPLICATION Attorney Docket No. D/97063

#### **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, WE, the undersigned,

#### Stephen F. Linder and Craig H. Mallery

who have created a certain invention for which an application for United States Letters Patent has been executed concurrently herewith and is entitled

# METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT OREINTED NEUTRAL IMAGE DATA

Do hereby sell, assign and transfer to XEROX CORPORATION, a corporation of the State of New York having a place of business at Stamford, in the County of Fairfield, and State of Connecticut, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties;

Agree that XEROX CORPORATION, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN TESTIMONY WHEREOF WE have hereunto set OUR signatures on the dates indicated below.

Stephen F. Linder

Date \_

Craig H. Mallery

Return Address:

Xerox Corporation Xerox Square 20A

Rochester, New York 14644